

04/09/99



376 U.S. PTO

PTO/SB/05 (2/98) (modified)  
Approved for use through 9/30/2000, OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

NEW UTILITY PATENT APPLICATION TRANSMITTAL <small>(only for new nonprovisional applications under 37 CFR 1.53(b))</small>		Attorney Docket Number	3854
		First Named Inventor	Richard W. Friesen
		Total Pages in this Submission	67
		Express Mail Label No.	EL263549029US
<b>APPLICATION ELEMENTS</b>		<b>ACCOMPANYING APPLICATION PARTS</b>	
1. <input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate) <input type="checkbox"/> Check Enclosed		6. <input type="checkbox"/> Assignment & PTO-1595	
2. <input checked="" type="checkbox"/> Specification <i>(preferred arrangement set forth below)</i> <ul style="list-style-type: none"><li>■ Descriptive Title of the Invention</li><li>■ Cross Reference(s) to Related Case(s)</li><li>■ Statement Regarding Fed sponsored R &amp; D</li><li>■ Background of the Invention</li><li>■ Brief Summary of the Invention</li><li>■ Brief Description of the Drawing(s)</li><li>■ Detailed Description</li><li>■ Claim or Claims</li><li>■ Abstract of the Disclosure</li></ul>		7. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i>	
3. <input checked="" type="checkbox"/> Drawing(s) <i>(when necessary per 35 USC 113)</i>		8. <input type="checkbox"/> Information Disclosure Statement & PTO-1449 <input type="checkbox"/> Copies of IDS Citation(s)	
4. Oath or Declaration <ul style="list-style-type: none"><li>a. <input checked="" type="checkbox"/> New Declaration <input type="checkbox"/> Executed</li><li>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 17 completed)</i><ul style="list-style-type: none"><li>i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</li></ul></li></ul>		9. <input type="checkbox"/> Preliminary Amendment	
5. <input type="checkbox"/> Incorporation by Reference <i>(useable if Box 4b is checked)</i> . The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.		10. Small Entity Statement <input checked="" type="checkbox"/> New Statement enclosed <input type="checkbox"/> Statement filed in prior application. Status still proper and desired	
17. If a <b>CONTINUING APPLICATION</b> , check appropriate box and supply the requisite information below and in a preliminary amendment: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No: ____/____ Prior application information: Examiner: _____ Group/Art Unit: _____		11. <input checked="" type="checkbox"/> Return Postcard	
<b>18. CORRESPONDENCE ADDRESS</b>		12. <input type="checkbox"/> _____	
NAME Dana S. Rao Fenwick & West LLP		13. <input type="checkbox"/> _____	
ADDRESS Two Palo Alto Square		14. <input type="checkbox"/> _____	
CITY Palo Alto	STATE CA	ZIP CODE	94306
COUNTRY U.S.A.	TELEPHONE (650) 858-7816	FAX	(650) 494-1417
Name (Print/Type) Dana S. Rao	Registration No. (Attorney/Agent)	43,875	
Signature	Date	4/9/99	

0002/PTO(modified) Rev. 10/95	U.S. Department of Commerce Patent and Trademark Office	<b>Complete if Known</b>	
<b>FEE TRANSMITTAL</b>  <b>TOTAL AMOUNT OF PAYMENT</b> Subtotal (1) + Subtotal (2) + Subtotal (3) = <b>(\$1,073.00)</b>		Application Number	Not Yet Known
		Filing Date	April 9, 1999
		First Named Inventor	Richard W. Friesen
		Group Art Unit	Not Yet Known
		Examiner Name	Not Yet Known
		Attorney Docket Number	3854

METHOD OF PAYMENT	FEE CALCULATION (continued)																																																																																								
<b>1. The Commissioner is hereby authorized to:</b> <input type="checkbox"/> Charge the indicated fees to the below mentioned deposit account. <input type="checkbox"/> Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account. <sup>†</sup> <input type="checkbox"/> Charge the Issue Fee set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b) to the below mentioned deposit account.  Deposit Account Number: 19-2555 Deposit Account Name: FENWICK & WEST LLP  A Duplicate Copy of this authorization is attached  <b>2. <input type="checkbox"/> Payment Enclosed:</b> <input type="checkbox"/> Check <input type="checkbox"/> Other	<b>3. ADDITIONAL FEES</b> <table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Large Entity Fee Code/Fee</th> <th style="text-align: left;">Small Entity Fee Code/Fee</th> <th style="text-align: left;">Fee Description</th> <th style="text-align: left;">Fee Due</th> </tr> </thead> <tbody> <tr><td>105/\$130</td><td>205/\$65</td><td>Surcharge - late filing fee or oath</td><td><input type="text"/></td></tr> <tr><td>127/\$50</td><td>227/\$25</td><td>Surcharge-late provisional filing fee or cover sheet</td><td><input type="text"/></td></tr> <tr><td>147/\$2,520</td><td>147/\$2,520</td><td>For filing a request for reexamination</td><td><input type="text"/></td></tr> <tr><td>115/\$110</td><td>215/\$55</td><td>Extension for response within first month<sup>†</sup></td><td><input type="text"/></td></tr> <tr><td>116/\$380</td><td>216/\$190</td><td>Extension for response within second month<sup>†</sup></td><td><input type="text"/></td></tr> <tr><td>117/\$870</td><td>217/\$435</td><td>Extension for response within third month<sup>†</sup></td><td><input type="text"/></td></tr> <tr><td>118/\$1,360</td><td>218/\$680</td><td>Extension for response within fourth month<sup>†</sup></td><td><input type="text"/></td></tr> <tr><td>128/\$1,850</td><td>228/\$925</td><td>Extension for response within fifth month<sup>†</sup></td><td><input type="text"/></td></tr> <tr><td>119/\$300</td><td>219/\$150</td><td>Notice of Appeal</td><td><input type="text"/></td></tr> <tr><td>141/\$1,210</td><td>241/\$605</td><td>Petition to revive unintentionally abandoned application</td><td><input type="text"/></td></tr> <tr><td>142/\$1,210</td><td>242/\$605</td><td>Utility Issue Fee (Or Reissue)</td><td><input type="text"/></td></tr> <tr><td>143/\$430</td><td>243/\$215</td><td>Design Issue Fee</td><td><input type="text"/></td></tr> <tr><td>122/\$130</td><td>122/\$130</td><td>Petitions to the Commissioner</td><td><input type="text"/></td></tr> <tr><td>123/\$50</td><td>123/\$50</td><td>Petitions related to provisional applications</td><td><input type="text"/></td></tr> <tr><td>126/\$240</td><td>126/\$240</td><td>Submission of Information Disclosure Statement</td><td><input type="text"/></td></tr> <tr><td>581/\$40</td><td>581/\$40</td><td>Recording each patent assignment per property (times number of properties)</td><td><input type="text"/></td></tr> <tr><td>146/\$760</td><td>246/\$380</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td><input type="text"/></td></tr> <tr><td>149/\$760</td><td>249/\$380</td><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td><input type="text"/></td></tr> <tr><td colspan="3">Other fee (specify):</td><td><input type="text"/></td></tr> <tr><td colspan="3">Other fee (specify):</td><td><input type="text"/></td></tr> <tr> <td colspan="3" style="text-align: right;"><b>SUBTOTAL (3)</b></td> <td><b>(\$).00</b></td> </tr> </tbody> </table>	Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due	105/\$130	205/\$65	Surcharge - late filing fee or oath	<input type="text"/>	127/\$50	227/\$25	Surcharge-late provisional filing fee or cover sheet	<input type="text"/>	147/\$2,520	147/\$2,520	For filing a request for reexamination	<input type="text"/>	115/\$110	215/\$55	Extension for response within first month <sup>†</sup>	<input type="text"/>	116/\$380	216/\$190	Extension for response within second month <sup>†</sup>	<input type="text"/>	117/\$870	217/\$435	Extension for response within third month <sup>†</sup>	<input type="text"/>	118/\$1,360	218/\$680	Extension for response within fourth month <sup>†</sup>	<input type="text"/>	128/\$1,850	228/\$925	Extension for response within fifth month <sup>†</sup>	<input type="text"/>	119/\$300	219/\$150	Notice of Appeal	<input type="text"/>	141/\$1,210	241/\$605	Petition to revive unintentionally abandoned application	<input type="text"/>	142/\$1,210	242/\$605	Utility Issue Fee (Or Reissue)	<input type="text"/>	143/\$430	243/\$215	Design Issue Fee	<input type="text"/>	122/\$130	122/\$130	Petitions to the Commissioner	<input type="text"/>	123/\$50	123/\$50	Petitions related to provisional applications	<input type="text"/>	126/\$240	126/\$240	Submission of Information Disclosure Statement	<input type="text"/>	581/\$40	581/\$40	Recording each patent assignment per property (times number of properties)	<input type="text"/>	146/\$760	246/\$380	Filing a submission after final rejection (37 CFR 1.129(a))	<input type="text"/>	149/\$760	249/\$380	For each additional invention to be examined (37 CFR 1.129(b))	<input type="text"/>	Other fee (specify):			<input type="text"/>	Other fee (specify):			<input type="text"/>	<b>SUBTOTAL (3)</b>			<b>(\$).00</b>
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FEE CALCULATION (fees effective 11/12/98)			
<b>1. FILING FEE</b>			
Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
101/\$760	201/\$380	Utility Filing	<b>380</b>
106/\$310	206/\$155	Design Filing	<input type="text"/>
108/\$760	208/\$380	Reissue Filing	<input type="text"/>
114/\$150	214/\$75	Provisional Filing	<input type="text"/>
<b>SUBTOTAL (1)</b>			<b>(\$)380</b>

<b>2. CLAIMS</b>		
Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description
103/\$18	203/\$9	Claims in excess of 20
102/\$78	202/\$39	Independent claims in excess of 3
104/\$260	204/\$130	Multiple dependent claim
109/\$78	209/\$39	Reissue independent claims over original patent
110/\$18	210/\$9	Reissue claims in excess of 20 and over original patent

(Col. 1)		(Col. 2)		(Col. 3)	
For	No. of Existing Claims	Highest No. Previously Paid For	Extra**	Fee	Fee Due
TOTAL	58	20 or	= 38	x 9	= 342
INDEP	12	3 or	= 9	x 39	= 351
<input type="checkbox"/> First presentation of multiple dependent claim					

\* Subtract the greater number of Col. 2  
 \*\* If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3

SUBMITTED BY		Complete (if applicable)	
Typed or Printed Name	Dana S. Rao	Reg. Number	43,875
Signature		Date	4/9/99

<sup>†</sup> Request for Extension of Time per 37 CFR 1.136 (a)(3) made hereby